

Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	10/682,252
				Filing Date	October 9, 2003
				First Named Inventor	Sanjay P. Ghatare
				Art Unit	2165
				Examiner Name	Farhan M. Syed
Sheet	1	of	25	Attorney Docket Number	021756-014400US

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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	B1	WO 00/70507 A1	11-23-2000	Sun Microsystems Inc.	<input type="checkbox"/>

NON PATENT LITERATURE DOCUMENTS

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	C9	"DNS - Contents," http://www2.rad.com/networks/1998/dns/main.htm , 15 pages, December 7, 1999	<input type="checkbox"/>
	C10	Barrett, Debbie, "Diary Of A Break-And-Enter, Cyber Style," Technology in Government, p. 22, January 2000	<input type="checkbox"/>
	C11	Blakeley, Jose A., "Universal data access with OLE DB", Microsoft Corporation, February 23, 1997, pgs. 2-7, ISBN: 0-8186-7804-6, 1063-6390/97 - Compcon 1997 Proceedings, 1997 IEEE Computer Society, US.	<input type="checkbox"/>
	C12	Chitturi, Sriram, "Querying Active Directory using .NET class and LDAP Queries," May 30, 2003, The Code Project, http://www.codeproject.com/KB/system/activedirquery.aspx , pages 1-15.	<input type="checkbox"/>
	C13	Cholter, William La et al., "IBAN: Intrusion Blocker Based On Active Networks," Proceedings of the DARPA Active Networks Conference and Exposition (DANCE'02), 11 pages, 2002	<input type="checkbox"/>
	C14	Easter, C., "Method To Report Access Control Of LAN Server Resources On A Per User Basis," IBM Technical Disclosure Bulletin, p. 172, April 1992	<input type="checkbox"/>
	C15	Farjami, P. et al., "Advanced service provisioning based on mobile agents", Computer Communications, Vol. 23, No. 8, April 2000, Elsevier Science B.V., pgs. 754-760.	<input type="checkbox"/>
	C16	Foster, Ian et al., "A Security Architecture for Computational Grids", XP-002473964, 5th ACM Conference on Computer and Communication Security, ACM 1998, pgs. 83-92.	<input type="checkbox"/>
	C17	Good, G., "The LDAP Data Interchange Format (LDIF) - Technical Specification," RFC 2849, 14 pages, June 2000	<input type="checkbox"/>
	C18	Hayes, Jeff, "Policy-Based Authentication And Authorization: Secure Access To The Network Infrastructure," IEEE, pp. 328-333, 2000	<input type="checkbox"/>
	C19	Hewlett-Packard, "HP Introduces Next-Generation Web Authorization Products For E-Business," Press Release, 3 pages, January 18, 1999	<input type="checkbox"/>

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Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/682,252
				Filing Date	October 9, 2003
				First Named Inventor	Sanjay P. Ghatare
				Art Unit	2165
				Examiner Name	Farhan M. Syed
Sheet	9	of	25	Attorney Docket Number	021756-014400US

NON PATENT LITERATURE DOCUMENTS					
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	C20	Hewlett-Packard, "HP Introduces Security For Microsoft NT Extranets, Portals And E-Services," Press Release, 3 pages, January 17, 2000			<input type="checkbox"/>
	C21	Hewlett-Packard, "HP Provides Unprecedented Range Of Authentication Options," Press Release, 3 pages, September 1, 1999			<input type="checkbox"/>
	C22	Hitchens, Michael et al., "Design Choices for Symmetric Key Based Inter-domain Authentication Protocols in Distributed Systems," Computer Security Applications Conference, 1996., 12th Annual 9-13 Dec 1996; Pages 105-116			<input type="checkbox"/>
	C23	Hodges, J. et al., "Lightweight Directory Access Protocol (v3): Extension For Transport Layer Security," RFC 2830, 12 pages, May 2000			<input type="checkbox"/>
	C24	Howard, L., "An Approach For Using LDAP As A Network Information Service," RFC 2307, 20 pages, March 1998			<input type="checkbox"/>
	C25	Janis, "Reference Monitor-Creating Group Membership, IBM Technical Disclosure Bulletin, p. 431, March 1990			<input type="checkbox"/>
	C26	Jung-Jang Jeng, "An Approach to Designing Reusable Service Frameworks via Virtual Service Machine," ACM, May 18-20, 2001, pp. 58-66.			<input type="checkbox"/>
	C27	Kim, Hahnsang et al., " Improving Cross-domain Authentication over Wirsless Local Area Networks," Security and Privacy for Emerging Areas in Communications Networks, 2005 (SecureComm 2005; First International Conference on 05-09 Sept 2005 Pages 127-138			<input type="checkbox"/>
	C28	Lin, Whe Dar et al., "A Wireless-based Authentication and Anonymous Channels for Large Scale Area," Computers and Communications, 2001; Proceedings; Sixth IEEE Symposium on 3-5 July 2001; Pages 36-41			<input type="checkbox"/>
	C29	Luciani, J. et al., "Server Cache Synchronization Protocol (SCSP)," RFC 2334, 39 pages, April 1998			<input type="checkbox"/>

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	C30	Mohri, Takao et al., "Virtual Integration of Distributed Databased by Multiple Agence", 1998. XP-002473963, S. Arakawa and H.Motoda (Eds.): D'98, LNAI 1532, pgs. 413-414.			<input type="checkbox"/>
	C31	Moridera, Akio et al., "The Network Paradigm of the 21st Century and its Key Technologies", Vol. 38, No. 11, November 11, 2000, IEEE Communications Magazine, pgs. 94-98, ISSN: 0163-6804.			<input type="checkbox"/>
	C32	Mwakalinga, Jeffy et al., "Authorization System in Open Networks based on Attribute Certificates," http://www.dsv.su.se/~matei/courses/3%20-%202i1272/L3b.pdf . 17 pages, printed date February 20, 2007			<input type="checkbox"/>
	C33	Nenad Medvidovic et al., "Modeling Software Architectures in the Unified Modeling Language," ACM, January 2002, pp. 2-57.			<input type="checkbox"/>
	C34	Netegrity Inc., "SiteMinder Policy Server Operations Guide," Version 4.0, pp. 1-556, 1997			<input type="checkbox"/>
	C35	Netscape Communications Corporation, "Introduction To SSL," http://developer.netscape.com/docs/manuals/security/sslin/contents.htm , 12 pages, October 9, 1998			<input type="checkbox"/>
	C36	Oblix, Inc., "Oblix CSA Solution Administration Guide," Version 3.5, 328 pages, 1999			<input type="checkbox"/>
	C37	Park, Joon S. et al., "Secure Cookies On The Web," IEEE Internet Computing, pp. 36-44, July/August 2002			<input type="checkbox"/>
	C38	Pfitzmann, Birgit et al., "Analysis Of Liberty Single-Sign-On With Enabled Clients," IEEE Internet Computing, pp. 38-44, November/December 2003			<input type="checkbox"/>
	C39	Phipatanasuphorn, Veradej et al., "Vulnerability Of Sensor Networks To Unauthorized Traversal And Monitoring," IEEE Transactions on Computers, Vol. 53, No. 3, pp. 364-369, March 2004			<input type="checkbox"/>

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				Examiner Name	Farhan M. Syed
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	C40	Piscitello, David M. et al., "Project Guards Laptop And Desktop Data," InfoWorld, pp. 48 and 54, June 21, 1999			<input type="checkbox"/>
	C41	Skaggs, B. et al., "Network Vulnerability Analysis," IEEE, pp. III-493-III-495, 2002			<input type="checkbox"/>
	C42	Soltysiak, Stuart et al., "An Agent-Based Intelligent Distributed Information Management System for Internet Resources", XP-002473965, URL: http://isoc.org/inet2000.cdpceedings , retrieved 3/26/2008.			<input type="checkbox"/>
	C43	Stokes, E. et al., "Access Control Requirements For LDAP," RFC 2820, 9 pages, May 2000			<input type="checkbox"/>
	C44	Sun Microsystems, Inc., "Appendix B - ACL File Syntax," iPlanet Web Server: FastTrack Edition Administrator's Guide, 7 pages, July 13, 2000			<input type="checkbox"/>
	C45	Sun Microsystems, Inc., "Chapter 12 - Controlling Access To Your Server," iPlanet Web Server: FastTrack Edition Administrator's Guide, 24 pages, July 13, 2000			<input type="checkbox"/>
	C46	Sun Microsystems, Inc., "Chapter 2 - Syntax And Use Of obj.conf," iPlanet Web Server, FastTrack Edition NSAPI Programmer's Guide, 16 pages, July 20, 2000			<input type="checkbox"/>
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				Art Unit	2165
				Examiner Name	Farhan M. Syed
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Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ⁶
	C100	US Patent Application No. 09/793,354, Final Office Action dated April 19, 2004, 15 pages			<input type="checkbox"/>
	C101	US Patent Application No. 09/793,354, Final Office Action dated August 26, 2005, 9 pages			<input type="checkbox"/>
	C102	US Patent Application No. 09/793,354, Office Action dated January 4, 2005, 11 pages			<input type="checkbox"/>
	C103	US Patent Application No. 09/793,354, Office Action dated October 1, 2003, 12 pages			<input type="checkbox"/>
	C104	US Patent Application No. 09/793,355 (Atty. Ref. No. 021756-011100), Appeal Brief dated July 29, 2008, 31 pgs.			<input type="checkbox"/>
	C105	US Patent Application No. 09/793,355 (Atty. Ref. No. 021756-011100), Reply Brief dated December 22, 2008, 6 pgs.			<input type="checkbox"/>
	C106	US Patent Application No. 09/793,355 (Atty. Ref. No. 021756-011100US), Final Office Action dated February 9, 2006, 15 pages			<input type="checkbox"/>
	C107	US Patent Application No. 09/793,355 (Atty. Ref. No. 021756-011100UUS), Advisory Action dated May 2, 2006, 3 pages			<input type="checkbox"/>
	C108	US Patent Application No. 09/793,355, Advisory Action dated June 21, 2005, 7 pages			<input type="checkbox"/>
	C109	US Patent Application No. 09/793,355, Final Office Action dated April 6, 2005, 16 pages			<input type="checkbox"/>

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Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	10/682,252
				Filing Date	October 9, 2003
				First Named Inventor	Sanjay P. Ghatare
				Art Unit	2165
				Examiner Name	Farhan M. Syed
Sheet	18	of	25	Attorney Docket Number	021756-014400US

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	C110	US Patent Application No. 09/793,355, Office Action dated March 12, 2004, 13 pages	<input type="checkbox"/>	
	C111	US Patent Application No. 09/793,355, Office Action dated September 7, 2005, 13 pages	<input type="checkbox"/>	
	C112	US Patent Application No. 09/793,658, Advisory Action dated January 31, 2006, 3 pages	<input type="checkbox"/>	
	C113	US Patent Application No. 09/793,658, Final Office Action dated November 2, 2005, 16 pages	<input type="checkbox"/>	
	C114	US Patent Application No. 09/793,658, Office Action dated September 9, 2004, 14 pages	<input type="checkbox"/>	
	C115	US Patent Application No. 09/793/355 (Atty. Ref. No. 021756-011100), Examiner's Answer to Appeal Brief dated October 20, 2008, 16 pgs.	<input type="checkbox"/>	
	C116	US Patent Application No. 09/814,091, Advisory Action dated July 5, 2005, 3 pages	<input type="checkbox"/>	
	C117	US Patent Application No. 09/814,091, Final Office Action dated April 8, 2005, 24 pages	<input type="checkbox"/>	
	C118	US Patent Application No. 09/814,091, Office Action dated July 14, 2004, 22 pages	<input type="checkbox"/>	
	C119	US Patent Application No. 09/814,091, Office Action dated May 3, 2006, 7 pages	<input type="checkbox"/>	

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				Art Unit	2165
				Examiner Name	Farhan M. Syed
Sheet	19	of	25	Attorney Docket Number	021756-014400US

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	C120	US Patent Application No. 09/814,091, Office Action dated November 1, 2005, 18 pages			<input type="checkbox"/>
	C121	US Patent Application No. 09/886,515 (Atty. Ref. No. 021756-011800US), Office Action dated August 29, 2005, 35 pages			<input type="checkbox"/>
	C122	US Patent Application No. 09/886,515 (Aty. Ref. No. 021756-011800US), Office Action dated September 7, 2006, 43 pages			<input type="checkbox"/>
	C123	US Patent Application No. 09/886,515, Final Office Action dated February 14, 2006, 36 pages			<input type="checkbox"/>
	C124	US Patent Application No. 09/886,515, Office Action dated December 28, 2004, 35 pages			<input type="checkbox"/>
	C125	US Patent Application No. 09/793,320 (Atty. Ref. No. 021756-011500US), 2nd or Subsequent Examiner's Answer to Appeal Brief dated April 21, 2009.			<input type="checkbox"/>
	C126	US Patent Application No. 10/314,888 (Atty. Ref. No. 021756-014107), Advisory Action dated May 30, 2008, 3 pages.			<input type="checkbox"/>
	C127	US Patent Application No. 10/314,888 (Atty. Ref. No. 021756-014107), Office Action dated July 27, 2007, 37 pages			<input type="checkbox"/>
	C128	US Patent Application No. 10/314,888 (Atty. Ref. No. 021756-014107US), Final Office Action dated February 22, 2008, 37 pages			<input type="checkbox"/>
	C129	US Patent Application No. 10/325,465 (Atty. Ref. No. 021756-014110), Advisory Action dated June 19, 2007, 3 pages			<input type="checkbox"/>

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				Filing Date	October 9, 2003
				First Named Inventor	Sanjay P. Ghatare
				Art Unit	2165
				Examiner Name	Farhan M. Syed
Sheet	20	of	25	Attorney Docket Number	021756-014400US

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	C130	US Patent Application No. 10/325,465 (Atty. Ref. No. 021756-014110), Final Office Action dated April 4, 2007, 25 pages			<input type="checkbox"/>
	C131	US Patent Application No. 10/325,465 (Atty. Ref. No. 021756-014110), Office Action dated December 12, 2007, 20 pages			<input type="checkbox"/>
	C132	US Patent Application No. 10/327,607 (Attny. Ref. No. 021756-014104), Office Action dated April 30, 2007, 31 pages			<input type="checkbox"/>
	C133	US Patent Application No. 10/327,607 (Atty. Ref. No. 021756-014104), Final Office Action dated October 10, 2006, 33 pages			<input type="checkbox"/>
	C134	US Patent Application No. 10/327,607 (Atty. Ref. No. 021756-014104), Notice of Allowance dated August 6, 2008, 36 pages.			<input type="checkbox"/>
	C135	US Patent Application No. 10/327,607 (Atty. Ref. No. 021756-014104US), Advisory Action dated February 4, 2008, 3 pages			<input type="checkbox"/>
	C136	US Patent Application No. 10/327,607 (Atty. Ref. No. 021756-014104US), Final Office Action dated November 16, 2007, 35 pages			<input type="checkbox"/>
	C137	US Patent Application No. 10/328,920 (Atty. Ref. No. 021756-014105), Notice of Allowance dated December 5, 2006, 8 pages			<input type="checkbox"/>
	C138	US Patent Application No. 10/345,873 (Atty. Ref. No. 021756-014102), Notice of Allowance dated July 6, 2006, 6 pages			<input type="checkbox"/>
	C139	US Patent Application No. 10/345,879 (Atty. Ref. No. 021756-014108), Advisory Action dated July 27, 2007, 5 pages			<input type="checkbox"/>

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Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	10/682,252
				Filing Date	October 9, 2003
				First Named Inventor	Sanjay P. Ghatare
				Art Unit	2165
				Examiner Name	Farhan M. Syed
Sheet	21	of	25	Attorney Docket Number	021756-014400US

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	C140	US Patent Application No. 10/345,879 (Atty. Ref. No. 021756-014108), Final Office Action dated May 17, 2007, 39 pages			<input type="checkbox"/>
	C141	US Patent Application No. 10/345,879 (Atty. Ref. No. 021756-014108), Office Action dated October 31, 2007, 35 pages			<input type="checkbox"/>
	C142	US Patent Application No. 10/354,913 (Atty. Ref. No. 021756-014106), Ex Parte Quayle Action dated November 1, 2007, 7 pages			<input type="checkbox"/>
	C143	US Patent Application No. 10/354,913 (Atty. Ref. No. 021756-014106), Final Office Action dated October 31, 2006, 15 pages			<input type="checkbox"/>
	C144	US Patent Application No. 10/354,913 (Atty. Ref. No. 021756-014106), Office Action dated April 18, 2007, 20 pages			<input type="checkbox"/>
	C145	US Patent Application No. 10/354,913 (Atty. Ref. No. 021756-014106), Notice of Allowance dated June 12, 2008, 8 pages.			<input type="checkbox"/>
	C146	US Patent Application No. 10/354,913 (Atty. Ref. No. 021756-014106US), Ex Parte Quayle Action dated February 7, 2008, 10 pages			<input type="checkbox"/>
	C147	US Patent Application No. 10/354,914 (Atty. Ref. No. 021756-014103), Final Office Action dated April 5, 2007, 9 pages			<input type="checkbox"/>
	C148	US Patent Application No. 10/354,914 (Atty. Ref. No. 021756-014103), Office Action dated September 22, 2006, 8 pages			<input type="checkbox"/>
	C149	US Patent Application No. 10/354,914 (Atty. Ref. No. 021756-014103US), Notice of Allowance dated March 12, 2008, 11 pages.			<input type="checkbox"/>

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				Application Number	10/682,252
				Filing Date	October 9, 2003
				First Named Inventor	Sanjay P. Ghatare
				Art Unit	2165
				Examiner Name	Farhan M. Syed
Sheet	22	of	25	Attorney Docket Number	021756-014400US

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	C150	US Patent Application No. 10/682,330 (021756-014500), Advisory Office Action dated December 12, 2006, 3 pages	<input type="checkbox"/>	
	C151	US Patent Application No. 10/682,330 (021756-014500), Office Action dated April 17, 2006, 26 pages	<input type="checkbox"/>	
	C152	US Patent Application No. 10/682,330 (021756-014500), Office Action dated March 22, 2007, 21 pages	<input type="checkbox"/>	
	C153	US Patent Application No. 10/682,575 (021756-014200), Advisory Action dated December 12, 2006, 3 pages	<input type="checkbox"/>	
	C154	US Patent Application No. 10/682,575 (021756-014200), Advisory Action dated September 24, 2008, 3 pages	<input type="checkbox"/>	
	C155	US Patent Application No. 10/682,575 (021756-014200), Advisory Action dated September 9, 2009, 3 pages	<input type="checkbox"/>	
	C156	US Patent Application No. 10/682,575 (021756-014200), Final Office Action dated July 11, 2008, 30 pages	<input type="checkbox"/>	
	C157	US Patent Application No. 10/682,575 (021756-014200), Final Office Action dated June 29, 2009, 58 pages	<input type="checkbox"/>	
	C158	US Patent Application No. 10/682,575 (021756-014200), Final Office Action dated June 4, 2010, 60 pages	<input type="checkbox"/>	
	C159	US Patent Application No. 10/682,575 (021756-014200), Final Office Action dated October 5, 2006, 24 pages	<input type="checkbox"/>	

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				Application Number	10/682,252
				Filing Date	October 9, 2003
				First Named Inventor	Sanjay P. Ghatare
				Art Unit	2165
				Examiner Name	Farhan M. Syed
Sheet	23	of	25	Attorney Docket Number	021756-014400US

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	C160	US Patent Application No. 10/682,575 (021756-014200), Final Office Action dated September 7, 2007, 26 pages			<input type="checkbox"/>
	C161	US Patent Application No. 10/682,575 (021756-014200), Office Action dated December 28, 2009, 60 pages			<input type="checkbox"/>
	C162	US Patent Application No. 10/682,575 (021756-014200), Office Action dated January 7, 2009, 54 pages			<input type="checkbox"/>
	C163	US Patent Application No. 10/682,575 (021756-014200), Office Action dated March 27, 2007, 37 pages			<input type="checkbox"/>
	C164	US Patent Application No. 10/682,575 (Atty. Ref. No. 021756-014200US), Office Action dated January 23, 2008, 28 pages			<input type="checkbox"/>
	C165	US Patent Application No. 10/751,333 (021756-015100) Office Action dated February 13, 2007, 14 pages			<input type="checkbox"/>
	C166	US Patent Application No. 10/866,508 (Atty. Ref. No. 021756-015200US), Office Action dated December 12, 2007, 11 pages			<input type="checkbox"/>
	C167	US Patent Application No. 10/952,592 (Atty. Ref. No. 021756-014600), Advisory Action dated April 13, 2009, 3 pages			<input type="checkbox"/>
	C168	US Patent Application No. 10/952,592 (Atty. Ref. No. 021756-014600US), Advisory Action dated June 27, 2008, 3 pages			<input type="checkbox"/>
	C169	US Patent Application No. 10/952,592 (Atty. Ref. No. 021756-014600US), Final Office Action dated May 3, 2007, 54 pages			<input type="checkbox"/>

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	C170	US Patent Application No. 10/952,592 (Atty. Ref. No. 021756-014600US), Final Rejection dated April 16, 2008, 22 pages	<input type="checkbox"/>	
	C171	US Patent Application No. 10/952,592 (Atty. Ref. No. 021756-014600US), Final Rejection dated February 3, 2009, 24 pages	<input type="checkbox"/>	
	C172	US Patent Application No. 10/952,592 (Atty. Ref. No. 021756-014600US), Non-Final Rejection dated September 18, 2008, 22 pages	<input type="checkbox"/>	
	C173	US Patent Application No. 10/952,592 (Atty. Ref. No. 021756-014600US), Notice of Allowance dated 07/23/09, 4 pages	<input type="checkbox"/>	
	C174	US Patent Application No. 10/952,592 (Atty. Ref. No. 021756-014600US), Office Action dated August 23, 2007, 22 pages	<input type="checkbox"/>	
	C175	US Patent Application No. 10/952,592 (Atty. Ref. No. 021756-014600US), Office Action dated October 4, 2006, 17 pages	<input type="checkbox"/>	
	C176	US Patent Application No. 11/542,311 (Atty Ref. No. 021756-010710US), Office Action dated June 8, 2007, 25 pages	<input type="checkbox"/>	
	C177	US Patent Application No. 11/542,311 (Atty. Ref. No. 021756-010710US), Final Office Action dated September 11, 2007, 14 pages	<input type="checkbox"/>	
	C178	US Patent Application No. 11/542,311 (Atty. Ref. No. 021756-010710US), Notice of Allowance dated February 11, 2008, 7 pages.	<input type="checkbox"/>	
	C179	US Patent Application No. 11/588,604 (Atty. Ref. No. 021756-011710US), Office Action dated January 24, 2008, 23 pages	<input type="checkbox"/>	

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Sheet	25	of	25	Attorney Docket Number	021756-014400US

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	C180	US Patent Application No. 11/684,796 (Atty. Ref. No. 021756-014115), Advisory Action dated August 13, 2008, 4 pages.			<input type="checkbox"/>
	C181	US Patent Application No. 11/684,796 (Atty. Ref. No. 021756-014115), Final Office Action dated June 17, 2008, 22 pages			<input type="checkbox"/>
	C182	Wahl, M. et al., "Authentication Methods For LDAP," RFC 2829, 16 pages, May 2000			<input type="checkbox"/>
	C183	Wahl, M. et al., "Lightweight Directory Access Protocol (v3)," RFC 2251, 48 pages, December 1997			<input type="checkbox"/>
	C184	Wu, Kun-Lung et al., "Personalization With Dynamic Profiler," IEEE, pp. 12-20, 2001			<input type="checkbox"/>
	C185	Yaacovi, Y. et al., "Lightweight Directory Access Protocol (v3): Extensions For Dynamic Directory Services," RFC 2589, 12 pages, May 1999			<input type="checkbox"/>

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